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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

First Inventor **ZHANG** Title **EXTERNAL CAVITY LASER WITH HIGH SPECTRAL PURITY** OUTPUT

NUFO011

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

Express Mail Label No. EL923480463US Assistant Commissioner for Patents ADDRESS TO: Box Patent Application

SEE MPE	P chapter 6	00 concerning utility patent application	on contents.		Washingto	n, DC 20231	200	
1. Fe	ee Transmitta ibmit an onginal an	al Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)			017 10/0			
	oplicant claim se 37 CFR 1.:	is small entity status. 27.		8. Nucleotide fif applical	8. Nucleotide and/or Amino Acid Sequence Submission fif applicable, all necessary) a. Computer Readable Form (CRF)			
	pecification	[Total Pages; 23_	-1	b. Specifica	b. Specification Sequence Listing on:			
-D -C -S -R or	Descriptive title Cross Referent tatement Reg Deference to ser a computer	e of the invention use to Related Applications garding Fed sponsored R & D sequence listing, a table, program listing appendix the Invention		iı	☐ CD-ROM or CD☐ paper tements verifying ide	p-R (2 copies); or entity of above copies		
	•	of the Invention		А	CCOMPANYING	APPLICATION PARTS	•••	
-Bi -D -C		on of the Drawings (<i>if filed</i>) ription		9. Ass		ver sheet & documents(s))	011	
4. Dr	awing(s) (35	U.S.C. 113) [Total Pages: 3	-]	(wh	en there is an assigi	nee)	еу	
5. Oath or De	eclaration	[Total Pages: 4	.1			ument (if applicable)		
a 🔯 N	Newly execute	ed (original or copy)	- •		12. Information Disclosure Copies of IDS Citations Statement (IDS)/PTO-1449			
ь 🔲 с	Copy from a p	rior application (37 CFR 1.63 (d))			liminary Amendment			
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i.	☐ DELE	TION OF INVENTOR(S)			ould be specifically i			
		statement attached deleting inventor(s)		15. Cert	tified Copy of Priority	Document(s)		
		in the prior application, see 37 CFR (2) and 1.33(b)			oreign priority is clai	•		
K-71	1.03(0)	(2) and 1.03(b)				under 35 U.S.C. 122 nust attach form PTO/SB/35		
6. 🛭 Ap	plication Dat	a Sheet. See 37 CFR 1.76			s equivalent.	iust attach form F10/36/33		
				17. Oth				
18. If a CON	NTINUING A	APPLICATION, check appropriate b	ox, and sup	ply the requisite info	ormation below an	d in a preliminary amendi	ment, or in	
an Applicatio		et under 37 CFR 1.76:						
_	□ Continuation □	on L Divisional L Co	ntinuation-in-	oart (CIP) of prior	application No.:			
Prior applicati		on: Examiner: Group A DIVISIONAL APPS only: The entire di	Art Unit:	 he prior application.	from which an oat	h or declaration is supplie	ed under	
Box 5b, is co	nsidered a p	part of the disclosure of the accompa	nying contin	uation or divisional	application and is	hereby incorporated by re	ference.	
THE INCORPOR	ation can or	aly be relied upon when a portion has		Entry omitted from	the submitted ap	olication parts.		
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Address		200 Middlefield Road, Suite 200						
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Name	Robert C	. Hall	,	Registration No. (A	Attorney/Agent)	39,209		
Signature		Chilt Will	1		Dat			

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FEE TRANSMITTAL for FY 2002

**or number previously paid, if greater, For Reissues, see above

Patent fees are subject to annual revision.

Complete if Known					
Application Number	To Be Assigned				
Filing Date	February 26, 2002 (herewith)				
First Named Inventor	ZHANG				
Examiner Name	To Be Assigned				
Group Art Unit	To Be Assigned				

TOTAL AMOUNT OF PAYMENT Attorney Docket No. (\$) 1272.00 NUFO011 **METHOD OF PAYMENT** FEE CALCULATION (continued) 1. The Commissioner is hereby authorized to charge indicated 3. ADDITIONAL FEES fees and credit overpayments to: Large Small Entity Entity Deposit Account Number 50-0815 Fee Fee Fee Fee Deposit Account Name Bozicevic, Field & Francis LLP Code (\$) Code (\$) Fee Description Fee Paid Charge Any Additional Fee Required Under 37 CFR 1.1 6 and 1.17 105 130 205 65 Surcharge - late filing fee or oath Applicant Claims small entity status. 127 50 227 25 Surcharge - late provisional filing fee or See 37 CFR 1.27 cover sheet 2. Payment Enclosed: 139 130 139 130 Non-English specification ☐ Check ☐ Credit Card 147 ☐ Money Order ☐ Other 2,520 147 2,520 For filing a request for ex parte reexamination 112 920* 112 Requesting publication of SIR prior to **FEE CALCULATION** Examination action 2. BASIC FILING FEE 1,840* 113 1.840*Requesting publication of SIR after Large Entity **Small Entity** Examiner action Fee Fee Fee Fee Description Fee Paid 115 110 215 55 Extension for reply within first month Code (\$) Code (\$) 101 740 201 370 Utility filing fee 740 00 116 400 216 Extension for reply within second month 106 330 206 165 Design filing fee 117 920 217 Extension for reply within third month 107 510 207 255 Plant filing fee 118 1,440 218 Extension for reply within fourth month 108 740 208 370 Reissue filing fee 128 1,960 228 980 Extension for reply within fifth month 160 114 214 80 Provisional filing fee 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 740 00 SUBTOTAL (1) 121 280 221 Request for oral hearing 1. EXTRA CLAIM FEES 138 1,510 138 1,510 Petition to institute a public use proceeding Fee from 140 110 240 Petition to revive - unavoidable **Extra Claims** below Fee Paid Total Claims = 18 x 141 1,280 241 Petition to revive - unintentional 84 = 168.00 Indep Claims 5-3* 142 1,280 242 Utility issue fee (or reissue) Multiple Dependent 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner Large Entity Small Entity Fee Description 123 50 123 50 Processing fee under 37 CFR 1 17(q) Code (\$) Code (\$) 126 180 126 180 Submission of Information Disclosure Stmt 103 18 203 Claims in excess of 20 581 40 581 Recording each patent assignment per property (times number of properties) 40.00 102 202 42 Independent claims in excess of 3 146 740 246 370 For each additional invention to be 104 280 204 140 Multiple dependent claim, if not paid examined (37 CFR § 1.129(a)) For each additional invention to be 149 740 370 249 109 84 ** Reissue independent claims 209 42 examined (37 CFR § 1 129(b)) over original patent 179 740 279 370 Request for Continued Examination (RCE) 110 18 210 9 ** Reissue claims in excess of 20 900 169 169 Request for expedited examination and over original patent of a design application SUBTOTAL (2) \$ 492.00 Other fee (specify)

SUBMITTED BY		Comp	ıplete (<i>if applicable</i>)		
Name (Pnnt/Type)	Robert C. Hall	Registration No (Attorney/Agent)	39,209	Telephone	(650) 327-3400
Signature	Latt Chy			Date	02/26/2002

*Reduced by Basic Filing Fee Paid

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